

Date: 5 June 2023

W A R N I N G**regarding the company Royal Investment Consortium**

The Securities Market Agency issued a warning regarding the activities of the company Royal Investment Consortium (hereinafter RIC). RIC states on its website, among other things, that it offers "financial products and services to private and institutional investors" in many countries, including all EU member states. The Agency is also aware of the warnings of other regulators regarding the mentioned company, including two EU regulators, namely (1) Malta Financial Services Authority dated March 27, 2023, which can be seen at the following link <https://www.mfsa.mt/news-item/mfsa-warning-royal-investment-consortium-unlicensed-entity/> and (2) Luxembourg regulator Commission de Surveillance du Secteur Financier dated 26 April, 2023 at the following link <https://www.cssf.lu/en/2023/04/warning-regarding-the-activities-of-an-entity-named-royal-investment-consortium-https-www-royalinvestmentconsortium-com/>.

Warnings were issued also by third countries regulators, including Monaco regulator, the Commission de Contrôle des Activités Financières, on 5 April, 2023 on the following link <https://ccaf.mc/en/warning-concerning-royal-consortium-investment/>, and by Financial Services Commission Mauritius on 11 April, 2023 at the following link <https://www.fscmauritius.org/media/137689/investor-alert-ric.pdf>.

RIC does not have the Agency's license to provide investment services and activities related to financial instruments in the Republic of Slovenia. Also, by the date of publication of this warning, the Agency had not received a request for the issuance of a license for the establishment of a branch or a notification regarding this entity, on the basis of which the company could perform investment services and activities related to financial instruments on the territory of the Republic of Slovenia, directly or through a branch in accordance with the Financial Instruments Market Act (Official Gazette RS, no. 77/18, 17/19 – amended 66/19 in 123/21).

The list of companies that meet the conditions for providing services related to securities on the territory of the Republic of Slovenia is available on the Agency's website <https://www.atvp.si/eng/lists-of-issued-licences-/list-of-issued-licences>.

The Agency warns investors to be careful when concluding transactions with the RIC, and in the case of an offer from them, to take into account the contents of this warning.