



КОМИСИЯ ЗА ФИНАНСОВ НАДЗОР

РЕШЕНИЕ № 145 - КИС

23.03.2021 г.

Във връзка с прилагането на чл. 38, ал. 1, т. 3 – 5 и 7 от Закона за дейността на колективните инвестиционни схеми и на други предприятия за колективно инвестиране (ЗДКИСДПКИ) и на основание чл. 13, ал. 1, т. 30 от Закона за Комисията за финансов надзор, във връзка с чл. 38, ал. 1, т. 3 – 5 и 7 от ЗДКИСДПКИ,

КОМИСИЯТА ЗА ФИНАНСОВ НАДЗОР

РЕШИ:

1. Одобрява списък на регулираните пазари по чл. 38, ал. 1, т. 3, 4 и т. 7 от ЗДКИСДПКИ, както следва:

	Регулиран пазар	Държава
1	Cantor Futures Exchange, L.P.	United States of America
2	CBOE Futures Exchange, LLC	United States of America
3	Chicago Board of Trade (Board of Trade of the City of Chicago, Inc.)	United States of America
4	Chicago Mercantile Exchange, Inc.	United States of America
5	Commodity Exchange, Inc.	United States of America
6	ELX Futures, L.P.	United States of America
7	Eris Exchange, LLC	United States of America
8	ICE Futures U.S., Inc.	United States of America
9	Minneapolis Grain Exchange, Inc.	United States of America
10	New York Mercantile Exchange, Inc.	United States of America
11	Nodal Exchange, LLC	United States of America

12	North American Derivatives Exchange, Inc.	United States of America
13	ONEChicago LLC	United States of America
14	True EX LLC	United States of America
15	BOX Options Exchange LLC	United States of America
16	Cboe BYX Exchange, Inc.	United States of America
17	Cboe BZX Exchange, Inc.	United States of America
18	Cboe C2 Exchange	United States of America
19	Cboe EDGA Exchange, Inc.	United States of America
20	Cboe EDGX Exchange, Inc.	United States of America
21	Cboe Exchange, Inc.	United States of America
22	Chicago Stock Exchange, Inc.	United States of America
23	The Investors Exchange LLC	United States of America
24	Miami International Securities Exchange	United States of America
25	MIAX PEARL, LLC	United States of America
26	Nasdaq BX, Inc.	United States of America
27	Nasdaq GEMX, LLC	United States of America
28	Nasdaq ISE, LLC	United States of America
29	Nasdaq MRX, LLC	United States of America
30	Nasdaq PHLX LLC	United States of America
31	The Nasdaq Stock Market	United States of America
32	New York Stock Exchange LLC	United States of America
33	NYSE Arca, Inc.	United States of America

34	NYSE MKT LLC	United States of America
35	NYSE National, Inc.	United States of America
36	Aqua Securities L.P.	United States of America
37	ATS-1	United States of America
38	ATS-4	United States of America
39	ATS-6	United States of America
40	Barclays ATS	United States of America
41	Barclays DirectEx	United States of America
42	BIDS Trading L.P.	United States of America
43	CIOI	United States of America
44	CitiBLOC	United States of America
45	CITICROSS	United States of America
46	CODA Markets, Inc.	United States of America
47	Credit Suisse Securities (USA) LLC	United States of America
48	Deutsche Bank Securities, Inc.	United States of America
49	e BX LLC	United States of America
50	Instinet X	United States of America
51	Instinet Continuous Block Crossing System (CBX)	United States of America
52	Instinet LLC (Instinet Crossing, Instinet BLX)	United States of America
53	Instinet LLC (BlockCross)	United States of America
54	JPB-X	United States of America
55	J.P. Morgan ATS („JPM-X“)	United States of America

56	J SVC LLC	United States of America
57	LiquidNet H ₂ O ATS	United States of America
58	Liquidnet Negotiation ATS	United States of America
59	Luminex Trading & Analytics LLC	United States of America
60	National Financial Services LLC	United States of America
61	POSIT	United States of America
62	SIGMA X2	United States of America
63	Spot Quote LLC	United States of America
64	Spread Zero LLC	United States of America
65	UBS ATS	United States of America
66	Ustocktrade	United States of America
67	Virtu MatchIt	United States of America
68	XE	United States of America
69	ASX	Australia
70	ASX24	Australia
71	Chi-X	Australia
72	Bourse de Montreal Inc.	Canada
73	Canadian Securities Exchange	Canada
74	ICE NGX Canada Inc.	Canada
75	TSX Inc.	Canada
76	TSX Venture Inc.	Canada
77	Alpha Exchange Inc.	Canada

78	NEO Exchange Inc.	Canada
79	Tokyo Stock Exchange, Inc.	Japan
80	Osaka Exchange, Inc.	Japan
81	Nagoya Stock Exchange, Inc.	Japan
82	Fukuoka Stock Exchange	Japan
83	Sapporo Securities Exchange	Japan
84	Tokyo Financial Exchange Inc.	Japan
85	Osaka Dojima Commodity Exchange Inc.	Japan
86	Tokyo Commodity Exchange, Inc.	Japan
87	Singapore Exchange Derivatives Trading Limited	Singapore
88	Singapore Exchange Securities Trading Limited	Singapore
89	ICE Futures Singapore	Singapore
90	The Stock Exchange of Hong Kong Limited (SEHK)	Hong Kong

2. Във връзка с прилагането на чл. 38, ал. 1, т. 5 от ЗДКИСДПКИ определя осъществявания надзор от съответните компетентни органи в държавите от списъка по т. 1 за еквивалентен на надзора съгласно правото на Европейския съюз.

3. Отменя Решение № 428-ИД от 12.03.2007 г. на заместник-председателя на КФН, ръководещ управление „Надзор на инвестиционната дейност“.

ПРЕДСЕДАТЕЛ:
БОЙКО АТАНАСОВ