Financial Conduct Authority



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Boiler Room Name: Piper Jaffray (clone of FCA authorised firm)
Address: 80 Wood Street, London, EC2V 7RS (clone of FCA authorised firm's address)
Telephone: 0207 117 2684, 0207 117 2919
Email: info@piperjaffrayeurope.com, xxx@piperjaffrayuk.com

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-toavoid

This entity is cloning the name and address of a FCA authorised firm. The following FCA authorised firm has no association whatsoever with the organisation discussed above identifying itself as Piper Jaffray:

Name: Piper Jaffray Ltd Firm reference number: 191657 Address: 13th Floor, 88 Wood Street, London, EC2V 7RS Tel: 0207 796 8400 Fax: 0207 796 8433 Website: www.pjc.com Email: keziah.r.dewar@pjc.com

If you have any further questions on this matter please email our Consumer Contact Centre at <u>consumer.queries@fca.org.uk</u>, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.