

## UCITS ATTESTATION

[Article 2 of Commission Regulation (EU) No 584/2010 of 1 July 2010 implementing Directive 2009/65/EC of the European Parliament and of the Council as regards the form and content of the standard notification letter and UCITS attestation, the use of electronic communication between competent authorities for the purpose of notification, and procedures for on-the-spot verifications and investigations and the exchange of information between competent authorities (OJ L 176, 10.7.2010, p.16)]

The **Commission de Surveillance du Secteur Financier ("CSSF")** is the competent authority in:  
(name of the competent authorities of the UCITS home Member State)

**Luxembourg.**  
(the UCITS home Member State)

Address: **110 route d'Arlon, L-2991 Luxembourg**  
Telephone number: **(+352) 26251 251**  
E-mail address: **opc@cssf.lu**  
Fax number: **(+352) 26251 604**

that carries out the duties provided for in Article 97(1) of Directive 2009/65/EC.

For the purpose of Article 93(3) of Directive 2009/65/EC,  
the **Commission de Surveillance du Secteur Financier ("CSSF")** certifies that  
(name of competent authority, as above)

**JULIUS BAER SPECIAL FUNDS**  
(name of UCITS, i.e. the name of the common fund, unit trust or investment company)

is established in: (name of its home Member State)  
**Luxembourg**

was set up on: (date of approval of the fund rules or instrument of incorporation of the UCITS)  
**15/03/2007**

has registry number (if applicable UCITS registry number in its home Member State)  
**4550**

registered with (if applicable name of the authority responsible for the register)  
**Commission de Surveillance du Secteur Financier**

is based at:  
**L-1661 Luxembourg, 25, Grand-rue**  
(for investment companies only, address of the UCITS' head office)

is: (please tick appropriate one box)

either ☐ a common fund/unit trust

List of all compartments approved in the home Member State, if applicable	
Serial no.	Name

Managed by the management company:

(name and address of the management company)

or ☒ an investment company

List of all compartments approved in the home Member State, if applicable	
Serial no.	Name
6	SMART EQUITY UCITS ETF WORLD
7	SMART EQUITY UCITS ETF EUROPE
8	SMART EQUITY UCITS ETF EMERGING MARKETS
9	SMART EQUITY UCITS ETF ASIA

that: (please tick appropriate one box)

either ☒ has designated a management company

**GAM (LUXEMBOURG) S.A.**

**L-1661 Luxembourg, 25, Grand-Rue**

(name and address of the designated management company)

or ☐ is self-managed

and fulfils the conditions set out in Directive 2009/65/EC

(The attestation shall be signed and dated by a representative of the competent authority of the UCITS home Member State in a manner that is accepted for the certification by that authority. The signatory shall state his or her full name and capacity.)

Luxembourg, 02/06/2015  
COMMISSION DE SURVEILLANCE DU SECTEUR FINANCIER



Simone DELCOURT  
DIRECTEUR