

## Media Release

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### SIX Exchange Regulation

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## Investigation of Sulzer AG

**SIX Exchange Regulation began an investigation into possible breaches by Sulzer AG of the disclosure provisions of the Directive on Information relating to Corporate Governance and of reporting obligations.**

SIX Exchange Regulation being entrusted by SIX Swiss Exchange Ltd with the tasks of monitoring and enforcing issuers' obligations carries out spot checks of corporate governance reports of primary-listed companies. Due to such a check SIX Exchange Regulation began an investigation into possible breaches by Sulzer AG of the disclosure provisions of the Corporate Governance Directive in connection with the bank's 2008 annual report.

Also under investigation is Sulzer's failure to provide SIX Exchange Regulation with the report of a new contact person in due time (reporting obligations).

Investigatory proceedings will continue for an indefinite period. SIX Exchange Regulation will announce its findings, although no information will be provided while the proceedings are ongoing.

Should you have any questions, please feel free to contact Werner Vogt, Head Media Relations.

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### SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.