

## Media Release

15 February 2010

SIX Exchange Regulation SIX Swiss Exchange Ltd Media Relations Selnaustrasse 30 P.O. Box

CH-8021 Zurich

T +41 58 854 2675 F +41 58 854 2710 pressoffice@six-group.com www.six-exchange-regulation.com

## Ad hoc Publicity: No Violation by OC Oerlikon Corporation Inc.

SIX Exchange Regulation announced on 28 January 2009 that it had begun an investigation into OC Oerlikon Corporation Inc. concerning a possible violation of Art. 72 of the Listing Rules and the Directive on Ad hoc Publicity. The investigation carried out by SIX Exchange Regulation was in connection with media reports on non-compliance of credit terms and the cutting of over 1,000 jobs.

The findings of the proceedings conducted by SIX Exchange Regulation and the Sanction Commission showed that, in connection with the reactions to media releases in January 2009 and at a presentation held in the same month, OC Oerlikon Corporation Inc. did not violate the provisions governing ad hoc publicity according to Art. 72 of the Listing Rules.

Information on Ad hoc Publicity can be found at: <a href="http://www.six-exchange-regulation.com/obligations/publicity\_en.html">http://www.six-exchange-regulation.com/obligations/publicity\_en.html</a>

This sanction decision and previous sanctions pronounced in connection with Ad hoc Publicity can be found at:

http://www.six-exchange-

regulation.com/enforcement/sanction\_decisions/adhoc\_publicity\_en.html

Should you have any questions, please feel free to contact Stephan Meier, Media Relations.

Phone: +41 58 854 26 75 Fax: +41 58 854 27 10

E-mail: pressoffice@six-group.com

**SIX Exchange Regulation** 



SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

## **Sanction Commission**

The Sanction Commission can impose sanctions on natural and legal persons who/which are subject to the General Conditions of SIX Swiss Exchange, the Listing Rules and the Additional Rules. It is composed of between five and eleven members. The Chairman of the Sanction Commission and half of its members are elected by the Regulatory Board, with the remaining members appointed by the Board of Directors of SIX Group Ltd.