

INVESTOR WARNING

(Vienna, 1 March 2011)

Pursuant to Article 92 para. 11 first sentence of the 2007 *Wertpapieraufsichtsgesetz* (WAG 2007; Securities Supervision Act), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette "Amtsblatt zur Wiener Zeitung", or in any other newspaper with nationwide circulation, that a particular natural or legal person is not entitled to carry out certain investment services (Article 3 para. 2 nos. 1 to 4 WAG 2007), provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette "Amtsblatt zur Wiener Zeitung" of 1 March 2011, the FMA informs that

Unique Asset LLC, Hong Kong Exchanges and Clearing Limited

with alleged place of business

11/F One International Finance Centre

1 Harbour View Street

Central, Hong Kong

Phone: + 49 9113084490999

www.uasst.com

info@u-asset.com, office@u-asset.com

is not entitled to provide investment services in Austria requiring a licence. The provider is therefore not entitled to provide investment advice in relation to financial instruments pursuant to Article 3 para. 2 no. 1 WAG 2007.