

INVESTOR WARNING

(Vienna, 29 March 2011)

Pursuant to Article 4 para. 7 first sentence of the *Bankwesengesetz* (BWG; Banking Act), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette "Amtsblatt zur Wiener Zeitung", or in any other newspaper with nationwide circulation, that a particular natural or legal person (person) is not entitled to carry out certain banking transactions, provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette "Amtsblatt zur Wiener Zeitung" of 29 March 2011, the FMA informs, that

Rosenthal & Goldberg Associates 105 W Franklin St. Media, PA, 19063 USA Phone: 00 1 484 441-1533 Fax: 00 1 484 933-5526 www.rosenthal-goldberg.com info@rosenthal-goldberg.com

is not authorised to carry out certain banking transactions requiring a licence in Austria pursuant to Article 1 Para. 1 Z 7 BWG trading for one's own account or on behalf of others is therefore not allowed.