

INVESTOR WARNING

(Vienna, 7 April 2011)

Pursuant to Article 4 para. 7 first sentence of the *Bankwesengesetz* (BWG; Banking Act) resp. Article 92 para. 11 first sentence of the 2007 *Wertpapieraufsichtsgesetz* (WAG 2007; Securities Supervision Act), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette “Amtsblatt zur Wiener Zeitung”, or in any other newspaper with nationwide circulation, that a particular natural or legal person (person) is not entitled to carry out certain banking transactions resp. investment services, provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette “Amtsblatt zur Wiener Zeitung” of 7 April 2011, the FMA informs, that

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is not entitled to provide banking or investment services in Austria requiring a licence. Therefore brokering of foreign exchange transactions for own account or on behalf of others is not allowed (Art. 1 para. 1 no. 18 lit c in conjunction with no. 7 lit. a BWG) as well as portfolio management by way of managing portfolios in accordance with mandates given by clients on a discretionary client-by-client basis where such portfolios include one or more financial instruments pursuant to Article 3 para. 2 no. 2 WAG 2007.