

The **Financial Services Authority** (“**FSA**”) of the United Kingdom would like to alert all recipients that:

CARLTON CAPITAL INC

Address: 48 Wall Street
New York
NY 10005
USA

Tel: 001 212 359 1647

Fax: 001 646 666 4374

Website: www.carltoncapitalinc.com

Email: info@carltoncapitalinc.com

is **NOT** authorised under the Financial Services and Markets Act 2000 (“**FSMA**”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at:

www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms

This entity is using the name and address of an US broker-dealer firm previously registered with the US Securities and Exchange Commission (SEC) and the US Financial Industry Regulatory Authority (FINRA).

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.