

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

A WALKER ASSOCIATES (Clone of FSA Registered firm)

also uses **ANDREW WALKER ASSOCIATES / AW ASSOCIATES**

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is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms>

This entity is using the details of a FSA Registered firm. The following firm has no association whatsoever with the organisation described above that is identifying itself as A Walker Associates, Andrew Walker Associates or AW Associates:

- **Andrew Walker**

FSA Firm Registration Number: 414899

Andrew Walker is an appointed representative firm of St. James's Place Wealth Management Plc (FSA number: 195351).

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.