

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

NORTHERN CAPITAL (CLONE OF EEA AUTHORISED FIRM AND UK REGISTERED FIRM)

12 LEADENHALL ST.

LONDON, EC3V 1LP

UNITED KINGDOM

TEL.: 02081442837 AND 02071938290

FAX: 02035142993

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

They purport to be based in the UK, however this is false. We believe they are based overseas.

The entity named above is using the FSA Firm Registration Number of an EEA authorised firm. The entity named above has no association whatsoever with the following authorised firm:

- **Northern Capital Denmark S/A (FSA authorisation 519363)
H.C. Andersens Boulevard 37, mf., 1553 København V, Denmark.**

In addition, it has no association whatsoever with the following UK registered firm:

- **Northern Capital Denmark Ltd. (Companies House No: 06453557)
60 Cemetery Road, Porth, Mid Glamorgan CF39 0BL, UK.**

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/overseas.shtml>

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.