

The **Financial Services Authority** (“**FSA**”) of the United Kingdom would like to alert all recipients that:

SUMITOMO Financial Corporation

Address: 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 1006601, Japan
5-34, Kitahama 4-Chome, Chuo-Ku, Osaka 541-0041, Japan
335 Madison Avenue, #838 New York, New York 10017, USA

Tel: +81 3 4588 8710

Website: www.sumifincorp.com

Email: info@sumifincorp.com
osaka@sumifincorp.com
newyork@sumifincorp.com

is **NOT** authorised under the Financial Services and Markets Act 2000 (“**FSMA**”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ('investments' include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms>

The firm described above identifying itself as “SUMITOMO Financial Corporation” should not be confused with the legitimate entity Sumitomo Mitsui Banking Corporation and Sumitomo Mitsui Financial Group, Inc (<http://www.smfg.co.jp/english/>).

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.