



The **Polish Financial Supervision Authority** (“KNF”) would like to alert all recipients that

**CorporateBonds.pl Sp. z o.o.**  
(limited liability company)

National Court Register No. 387595  
Taxpayer Identification No. 5252507652

with its registered office at  
Rajców 4, 00-220 Warszawa

do not have the KNF’s license for brokerage activities, yet is targeting Polish customers.

Therefore, on 28 November 2013 the KNF, pursuant to Art. 304.2<sup>1</sup> of the Act of 6 June 1997 on the Code on Criminal Procedure, has made a notification to the Regional Public Prosecutor’s Office in Warsaw on the suspicion concerning possible breach of Art. 178<sup>2</sup> in connection to Art. 69.2.6<sup>3</sup> of the Act of 29 July 2005 on Trading in Financial Instruments.

We have also added these entities to our list of unauthorized firms operating in Poland. This list can be found at: [http://www.knf.gov.pl/en/About\\_us/Public\\_warnings/index.html](http://www.knf.gov.pl/en/About_us/Public_warnings/index.html)

If you have any further questions on this matter please email us at [knf@knf.gov.pl](mailto:knf@knf.gov.pl) (please include *public warning – CorporateBondsp.pl Sp. z o.o.* as a topic of your email).

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<sup>1</sup> State or local government institutions which in connection with their activities have been informed of an offence prosecuted *ex officio*, shall be obligated to immediately inform the state prosecutor or the Police thereof. In addition they are obligated to take steps not amenable to delay, until the arrival of the officials of an agency authorized to prosecute such offences, or until that agency issues a suitable ruling in order to prevent the effacing of traces and evidence of the offence.

<sup>2</sup> Anyone who engages in trading in financial instruments without the required licence or authorisation provided for in other regulations, or any other statutory authorisation, shall be liable to a fine of up to PLN 5 000 000.

<sup>3</sup> Investment services shall encompass the following activities: (...) offering financial instruments