

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

**BROWN BROTHERS HARRIMAN SCA** (Clone of FSA EEA Authorised firm)

*Addresses:* 32 Threadneedle Street, City of London, London EC2R 8AY  
2-8 Avenue Charles de Gaulle, Luxembourg L-1653  
Suite 1500, 410 Park Avenue, New York, NY 10022

*Tel:* 0207 416 6713  
0203 468 7680

*Website:* [www.bbhretail.com](http://www.bbhretail.com)

*Email:* [trading@bbhretail.com](mailto:trading@bbhretail.com); [admin@bbhretail.com](mailto:admin@bbhretail.com); [hq@bbhretail.com](mailto:hq@bbhretail.com)

is **NOT** authorised under the Financial Services and Markets Act 2000 (“**FSMA**”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments (“investments” include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at: [www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms](http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms)

This entity is using the name, address and FSA Firm Registration Number of a FSA EEA Authorised firm. The Brown Brothers Harriman group ([www.bbh.com](http://www.bbh.com)) and specifically the following FSA authorised firms have no association whatsoever with the organisation described above that is identifying itself as Brown Brothers Harriman SCA:

- **Brown Brothers Harriman (Luxembourg) SCA**  
*FSA Firm Registration Number:* 195519
- **Brown Brothers Harriman Investor Services Ltd**  
*FSA Firm Registration Number:* 190266
- **Brown Brothers Harriman Trustee Services (Ireland) Limited**  
*FSA Firm Registration Number:* 186336

Individuals calling consumers on behalf of the unauthorised entity are using the names and FSA Individual Registration Numbers of FSA approved persons who have no association whatsoever with the organisation described above that is identifying itself as Brown Brothers Harriman SCA.

If you have any further questions on this matter please email our Consumer Contact Centre at [consumer.queries@fsa.gov.uk](mailto:consumer.queries@fsa.gov.uk), or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.

15 March 2013