

Press Release 28 November 2014

Central Bank of Ireland Issues Warning on Unauthorised Investment Firm/Investment Business Firm

The Central Bank of Ireland today (28 November 2014) published the name of an

unauthorised investment firm/investment business firm, Capital Mergers &

Acquisitions (Japan). Capital Mergers & Acquisitions (Japan) is not authorised

by the Central Bank as an investment firm, an investment business firm or to provide

investment advice.

A list of unauthorised firms/persons published to date is available on the Central Bank

website.

It is a criminal offence for an unauthorised firm/person to provide financial services in

Ireland that would require an authorisation under the relevant legislation for which the

Central Bank is the responsible body for enforcing. Consumers should be aware that, if

they deal with a firm/person who is not authorised, they are not eligible for

compensation from the Investor Compensation Scheme.

Any person wishing to contact the Central Bank of Ireland with information regarding

such firms/persons may telephone (01) 224 4000. This line is also available to the

public to check if a firm/person is authorised. Since obtaining the necessary legal

powers in August 1998, the names of 252 unauthorised firms/persons have been

published by the Central Bank.

ENDS

Further information: Press Office: (0)1 224 6299/ press@centralbank.ie

Notes to editors:

The name of the above firm/person is published under the Central Bank (Supervision and Enforcement) Act 2013.