Financial Conduct Authority



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Boiler Room Name: Houlihan Lokey (clone of FCA authorised firm) Address: 36 Pall Mall, London, SW1Y5LU Telephone: 02031292137 Fax: 02031294901 Email: info@hlokey.com

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid

This entity is cloning the name and address of a FCA authorised firm. The following FCA authorised firm has no association whatsoever with the organisation discussed above identifying itself as Houlian Lokey:

Name: Houlihan Lokey Firm reference number: 208153 Address: 83 Pall Mall, London, SW1Y5ES Tel: 02078393355 Fax: 02078395566 Email: jcowan@hlhz.com Website: www.hlhz.com

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.