

The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Boiler Room Name: Castlerigg UCITS Fund PLC (Clone of a FCA Authorised Firm)

Addresses: (1) 1 Emperor Way, Exeter United Kingdom, EX1 3QS.

(2) Willihelm-Leushner Strasse 43, 60329 Frankfurt, Germany.

(3) 33 Sir John Rogerson's Quay, Dublin 2 IRELAND (**Clone of FCA Authorised Firm's Address**)

Telephone Numbers: +44 1392 914015; +49 800 723 9378; +353 1800 816 053

Website: www.castle-rigg.co.uk & www.castlerigginvestments.com

Email: info@castle-rigg.co.uk

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

<http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid>

This entity is cloning the name and address of a FCA authorised firm. The following FCA authorised firm has no association whatsoever with the organisation discussed above:

Firm name: Castlerigg UCITS Funds PLC

Firm reference number: 537567

Address: 3 Sir John Rogerson's Quay, Dublin 2 IRELAND

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.