Financial Conduct Authority



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Boiler Room Name: UBS Wealth Management/ UBS Global Wealth Management (clone of FCA authorised firm) Address: 21 Lombard Street, London EC3V 9AH (cloning FCA authorised firm's address) Telephone: 0207 060 3881 Website: www.ubs-gwm.com, www.ubs-gam.com Email: info@ubs-gwm.com, info@ubs-gam.com

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-toavoid

This entity is cloning the name and address of a FCA authorised firm. The following FCA authorised firm has no association whatsoever with the organisation discussed above identifying itself as Chelsea Financial:

Firm name: UBS AG Firm reference number: 186958 Address: 1 Finsbury Avenue, London, EC2M 2PP Telephone: 020 7567 8000 Website: www.ubs.com

If you have any further questions on this matter please email our Consumer Contact Centre at <u>consumer.queries@fca.org.uk</u>, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.