The Financial Services Authority (“FSA”) of the United Kingdom would like to alert all recipients that:

FIRST PRIVATE CLIENTS LTD (clone of formerly FSA registered firm)

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is NOT authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The organisation named above is using the name, address, and FSA Firm Registration Number of a formerly FSA registered firm. The following formerly FSA registered firm has no association whatsoever with the organisation identifying itself as First Private Clients Ltd:

- First Private Clients Ltd

FSA Firm Registration Number: 513117
FSA Firm Registration Status: formerly registered (introducer appointed representative firm)

The FSA believes that the organisation identifying itself as First Private Clients Ltd may be targeting UK customers. Therefore, we have added this firm to our lists of unauthorised firms. These lists can be found at:


If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.