The Financial Services Authority ("FSA") of the United Kingdom would like to alert all recipients that:

THOMPSON GERRARD (Clone of previously FSA Registered firm)

Address: Canada Square
Floor 17
Canary Wharf
London
E14 5AP
Tel: 020 7060 5717
Fax: 020 7060 6467

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at:

http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms

This entity is using the name and firm registration number of a previously FSA Registered firm. The following firm has no association whatsoever with the organisation described above that is identifying itself as Thompson Gerrard:

- Thompson Gerrard
  FSA Firm Registration Number: 214220
  FSA Status: Appointed Representative – Former.
  This firm is no longer registered with the FSA.

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.

16 October 2012