



JOINT COMMITTEE OF THE EUROPEAN
SUPERVISORY AUTHORITIES

JC/GL/2014/01

22December 2014

Joint Guidelines

on the convergence of supervisory practices relating to the consistency of supervisory coordination arrangements for financial conglomerates



Confirmation of compliance with Joint Guidelines

Date:

Member State¹:

Bulgaria

Competent authority:

Financial Supervision Commission

Title of the Joint Guidelines:

Joint Guidelines on the convergence of supervisory practices relating to the consistency of supervisory coordination arrangements for financial conglomerates

Name:

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Position:

Chairman

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I am authorised to confirm compliance with the Joint Guidelines on behalf of my competent authority: Yes

The competent authority informs ESMA/EIOPA that it: (please select one of the following options)

complies with the Joint Guidelines as of the date of this notification.

does not comply, but intends to comply with the Joint Guidelines by _____ [insert date].

does not comply and does not intend to comply with **all** or **parts** of the Joint Guidelines and has provided a full explanation of the extent of non-compliance together with full reasons for this, as well as other details of the partial compliance, in the Annex to this notification.

the Joint Guidelines **do not apply** in my jurisdiction and full reasons for this have been provided in the Annex to this notification.

¹ or EU Institutions, EU Agencies, EEA-EFTA States, or European Territories under 355(3) TFEU



Please note the following:

- This form is to be used for the compliance notification required by Article 16(3) of the ESMA/EIOPA Regulations. It is also to be used to provide ESMA/EIOPA with an update on any notification previously provided.
- If a competent authority complies with the Joint Guidelines, please inform the ESMA/EIOPA of any national measures published in the relevant jurisdiction to comply (if any) by providing either a summary or an electronic link.
- If the competent authority does not comply with part of the Joint Guidelines, that competent authority should provide a full explanation in the Annex to this notification of the extent of non-compliance with those Joint Guidelines, as well as other details of partial compliance. The explanation should specify clearly the relevant parts of the guidelines which the competent authority does not intend to comply with.
- If a competent authority intends to comply with the Joint Guidelines, the date should be completed by adding 'the application date of the Joint Guidelines' except in the following two cases:
 - o where the Joint Guidelines are addressed to a competent authority but relate to a type of institution or instruments which do not currently exist in the authority's jurisdiction (such that currently there is no subject to which the Joint Guidelines relate), that competent authority may state 'the date a relevant institution or instrument exists in my jurisdiction';
 - o where legislative/regulatory proceedings have been initiated to bring into force any measures necessary to comply with the Joint Guidelines, that competent authority may state 'such time as the necessary legislative or regulatory proceedings have been completed' and should provide a brief explanation of the proceedings.
- The relevant ESA/ESAs as appropriate may decide to publish the information provided by a competent authority in this form. If the authority does not consent to the publication of any information in this form, please explain why in the Annex to this notification.

[insert signature]

[insert date]



Annex

My competent authority does not, and does not intend to, comply with the Joint Guidelines and recommendations for the following reasons:

Details of the partial compliance and reasoning:

(please specify clearly the relevant parts of the guidelines which the competent authority does not intend to comply with, as well as other details of partial compliance)

Any other additional information that may be necessary:

Please send this notification to [each relevant ESA/ESAs as appropriate] to compliance@eba.europa.eu, fidoguidelines.compliance@eiopa.europa.eu and/or compliance.ficod@esma.europa.eu with the reference 'JC/GL/2014/01'.²

² Please note that other methods of communication of this confirmation of compliance, such as communication to a different e-mail address from the above, or by e-mail that does not contain the required form, shall not be accepted as valid.